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J1046 U.S. PTO  
10/082314  
02/26/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re U.S. Patent Application of** )

**ISSHIKI** )

**Application Number: To Be Assigned** )

**Filed: Concurrently Herewith** )

**For: OPTICAL DISC APPARATUS** )

**Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

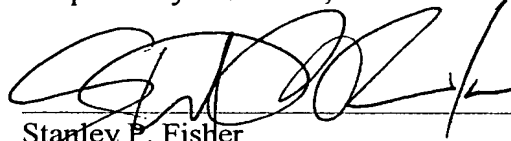
Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, is submitted herewith.

This Information Disclosure Statement is submitted with the initial application. Accordingly, no fee is due or payable at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stanley P. Fisher', written over a horizontal line.

Stanley P. Fisher

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**February 26, 2002**

**INFORMATION UNDER 37 CFR 1.56(a)**  
(For Initial Filing)

The following references are submitted as information to comply with the duty of disclosure under 37 CFR 1.56(a):

11046 U.S. PTO  
10/082314  
02/26/02  
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References	Disclosed in the specification		Copy			Translation (English Abstract)	
	Yes	No	Enc.	Follow	Please Obtain	Enc.	Not available
1.JP-A-8-330680	X		X			X	
2.JP-A-11-87831	X		X			X	
3.JP-A-2000-68610	X		X			X	
4.JP-A-10-11781	X		X			X	
5.JP-A-6-302003	X		X			X	
6.JP-A-2000-138411	X		X			X	
7.Applied Physics Letters vol.67 p1048	X		X			X	
8.Applied Physics Letters vol.67 p524	X		X			X	
9.							
10.							

Concise Explanation (Relevant Portion)

Form PTO 1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER ASAM.0053	SERIAL NUMBER To Be Assigned
	APPLICANT ISSHIKI.	
	FILING DATE Concurrently Herewith	GROUP

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 10/08/21/14  
 02/26/02

#### U.S. Patent Documents

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBC LASS	FILING DATE

#### Foreign Patent Documents

Examiner Initial	DOCUMENT NUMBER	FILING DATE	COUNTRY	CLAS S	SUB- CLASS	TRANSLATION	
						YES	NO
	8-330680	5/22/96	Japan			Abstract	X
	11-87831	9/2/97	Japan			Abstract	X
	2000-68610	3/27/96	Japan			Abstract	X
	10-11781	6/19/96	Japan			Abstract	X
	6-302003	4/12/93	Japan			Abstract	X
	2000-138411	10/29/98	Japan			Abstract	X

#### Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

		F. Issiki, S. Fukatsu, Y. Shiraki, "Efficient luminescence from AIP/GaP Neighboring Confinement Structure with AlGaP Barrier Layers". 1995 American Institute of Physics, Appl. Phys. Lett 67 (8), 21 August 1995, pp. 1048-1050
		N. Usami, F. Issiki, D.K. Nayak, Y. Shiraki, S. Fukatsu, "Enhancement of Radiative Recombination in Si-based Quantum Wells with Neighboring Confinement Structure", 1995 American Institute of Physics, Appl. Phys. Lett 67 (4), 24 July 1995, pp. 524-526
EXAMINER		DATE CONSIDERED

*EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant*